

## Brandon M. Taafe

PARTNER

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Brandon is a member of Shumaker’s Litigation & Disputes Service Line, where he focuses his practice on broker-dealer litigation and arbitration. He regularly defends regulatory investigations in the financial industry before state regulators, the Financial Industry Regulatory Authority (FINRA), and the Securities and Exchange Commission (SEC). Brandon has successfully obtained more than 20 expungements for financial advisors’ records in wrongful customer complaints. He represents large financial institutions and stock brokers and also counsels high-net-worth individuals in securities litigation and violations of contractual covenants.

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Brandon has experience in matters involving:

- Arbitration before the FINRA
- Restrictive covenants
- Trade secrets
- Commercial litigation
- Employment law

An accomplished rower, Brandon competed as a varsity athlete at Trinity College and continues to compete in various running races, rowing competitions, and Ironman triathlons, completing his first full Ironman in 2017. He also enjoys fly fishing and surfing the waters around Sarasota. Brandon is passionate about the

preservation of Sarasota Bay and serves as president of Sarasota Bay Watch.

### BAR & COURT ADMISSIONS

Florida, 2015

Michigan, 2024

New York, 2024

U.S. District Court, Southern District, Florida, 2017

U.S. District Court, Middle District, Florida, 2017

U.S. District Court, Eastern District, Michigan, 2022

### PROFESSIONAL & COMMUNITY AFFILIATIONS

- Sarasota Bay Watch, Board President

### HONORS

- Florida Rising Star by *Super Lawyers* magazine, 2022-2025

### SERVICE LINE

Data Breach Response Team  
Early Dispute Resolution  
Litigation & Disputes

### INDUSTRY SECTOR

Financial Institutions &  
Insurance  
Financial Services  
Registered Investment  
Advisory (RIA)

### PRIMARY LOCATION

Sarasota, FL

### EDUCATION

J.D., University of Florida  
Levin College of Law, 2015

B.A., History and Political  
Science, Trinity College, 2012