Shumaker

Brandon M. Taaffe

PARTNER

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VCard



Brandon is a member of Shumaker's Litigation & Disputes Service Line, where he focuses his practice on broker-dealer litigation and arbitration. He regularly defends regulatory investigations in the financial industry before state regulators, the Financial Industry Regulatory Authority (FINRA), and the Securities and Exchange Commission (SEC). Brandon has successfully obtained more than 20 expungements for financial advisors' records in wrongful customer complaints. He represents large financial institutions and stock brokers and also counsels high-net-worth individuals in securities litigation and violations of contractual covenants.

Brandon has experience in matters involving:

- Arbitration before the FINRA
- Restrictive covenants
- Trade secrets
- Commercial litigation
- Employment law

An accomplished rower, Brandon competed as a varsity athlete at Trinity College and continues to compete in various running races, rowing competitions, and Ironman triathlons, completing his first full Ironman in 2017. He also enjoys fly fishing and surfing the waters around Sarasota. Brandon is passionate about the

preservation of Sarasota Bay and serves as president of Sarasota Bay Watch.

BAR & COURT ADMISSIONS

Florida, 2015

Michigan, 2024

New York, 2024

U.S. District Court, Southern District, Florida, 2017

U.S. District Court, Middle District, Florida, 2017

U.S. District Court, Eastern District, Michigan, 2022

PROFESSIONAL & COMMUNITY AFFILIATIONS

- Sarasota Bay Watch, Board President

HONORS

- Florida Rising Star by *Super Lawyers* magazine, 2022-2025

SERVICE LINE

Data Breach Response Team
Early Dispute Resolution
Litigation & Disputes

INDUSTRY SECTOR

Financial Institutions & Insurance
Financial Services
Registered Investment Advisory (RIA)

PRIMARY LOCATION

Sarasota, FL

EDUCATION

J.D., University of Florida Levin College of Law, 2015

B.A., History and Political Science, Trinity College, 2012

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