<u>Shumaker</u>

Michael D. Bressan PARTNER



Michael is a member of a team of lawyers who represent large financial institutions and high-net-worth individuals in securities litigation and violations of contractual covenants. His practice primarily focuses on securities law, restrictive covenants, trade secrets, corporate change in control issues, commercial litigation, and arbitration.

Clients draw on Michael's significant experience with regard to:

- Brokerage industry transitions, promissory notes, and deferred compensation disputes
- Litigation involving vesting of Merrill Lynch's Financial Advisor Capital Accumulation Award Plans (FACAAP), Growth Award, Wealthbuilder, and Long-Term Incentive Compensation Plan for Managers and Producers (LTICP) plans
- · Negotiating financial advisor contracts with top firms
- Representing financial advisors in numerous regulatory matters before the Financial Industry Regulatory Authority (FINRA)
- Form U-4 and U-5 issues, defending against garden leave provisions across the country, and establishing independent Registered Investment Advisor (RIA) offices

BAR & COURT ADMISSIONS

SERVICE LINE

Litigation & Disputes

INDUSTRY SECTOR

Financial Institutions & Insurance Financial Services

PRIMARY LOCATION

Sarasota, FL

EDUCATION

J.D., with honors, University of Florida, 2004

M.D.R, Dispute Resolution, Pepperdine University, 2004

Florida, 2004

United States District Court, Northern District, Florida, 2009

United States District Court, Southern District, Florida, 2008

United States District Court, Middle District, Florida, 2005

PROFESSIONAL & COMMUNITY AFFILIATIONS

- Sarasota County Bar Association
- American Bar Association, Advisory Committee

HONORS

• Florida Rising Star by *Super Lawyers* magazine, 2013, 2014, 2015, 2016, 2017, 2018

B.S., with honors, Gannon University, 2001

