<u>Shumaker</u>





Michael is a member of the team representing large financial institutions and high-net-worth individuals in securities litigation and violations of contractual covenants. His practice primarily focuses on employmentrelated matters and litigation. For more than 15 years, Michael served as Vice President and counsel for Morgan Stanley's Wealth Management Division in New York, where he was Co-Head of the Recruitment Litigation and Advisory unit with responsibility for half of the firm's regions and more than 350 field branches.

Michael has educated field and regional management on best practices in a variety of employment-related matters, including financial advisor recruitment, onboarding, and drafting and negotiating complex recruit deal agreements. Additionally, his experience includes:

- Handling a broad range of legal disputes in the financial services sector, including employment discrimination claims under the American Disabilities Act (ADA), the Age Discrimination in Employment Act (ADEA), the New Jersey Law Against Discrimination (NJLAD), Title VII of the Civil Rights Act of 1964, and wage and hour regulations
- Serving as the primary advisor to financial services and corporate clients on employment agreements, restrictive

SERVICE LINE

Corporate, Tax and Transactions

INDUSTRY SECTOR

Financial Institutions & Insurance Health Care Hospitality, Leisure, & Sports Manufacturing Real Estate, Construction, & Development covenants, raiding issues, trade secrets, copyright infringement, the Uniform Commercial Code (UCC), and Occupational Safety and Health Administration (OSHA) violations

- Advising on constantly evolving national strategy; drafting guidelines, contracts, policies, and procedures for effective and compliant intra-firm competition; employee onboarding; and marketing and risk analysis
- Personally supervising, managing, and resolving employment and recruiting litigation from inception to final hearing in Financial Industry Regulatory Authority (FINRA), AAA, and federal and state courts
- Prosecuting and defending claims of violation of restrictive covenants, unfair competition, and misappropriation of trade secrets

Active in the community, Michael is known for his involvement with youth and high school-level sports, including speaking at and volunteering for youth sports in the Morristown area.

BAR & COURT ADMISSIONS

U.S. District Court, District of, New Jersey, 1987

U.S. Court of Appeals, Third Circuit, New Jersey, 1993

New Jersey, 1987

New York, 2011

PROFESSIONAL & COMMUNITY AFFILIATIONS

• Morris County Bar Association, Member

HONORS

• Distinguished Service Award, New York State Court Pro Bono Association, 2017

PRIMARY LOCATION

Sarasota, FL

EDUCATION

J.D., New York Law School, 1987

B.A., Political Science, Lehigh University, 1981

